Contents

List of Symbols **v**

1 Introduction **1**
1.1 Motivation 1
1.2 Organization of the Work 3

2 Background **5**
2.1 Biometric Person Authentication 5
2.1.1 Biometric Fundamentals 5
2.1.2 Biometric Technologies 6
2.1.3 Performance Evaluation 8
2.2 Person Authentication Based on Human Faces 11
2.2.1 Psychophysics and Neuroscience Issues relevant for Face Recognition 13
2.2.2 Face Recognition Technologies 17
2.2.3 Face Databases 19
2.2.4 Evaluation Methodology 21
2.3 Summary 24

3 Construction of a Multi-Modal Database for Person Authentication under Near Infrared Illumination **29**
3.1 Hardware Configuration 30
3.2 Data Collection 31
3.3 Labelling of the Data 34
3.4 Summary 35

4 Robust Eye Detection under Near Infrared Illumination **37**
4.1 Related work 38
4.2 Bright Pupil Effect 39
4.3 Thresholding 42
4.4 Eye Verification 45

4.4.1 Circle Matching . . . 45
4.4.2 Appearance Model . . . 46
4.5 Symmetry Transform . . . 50
4.5.1 Generalized Symmetry Transform . . . 51
4.5.2 Radial Symmetry Transform (RST) . . . 53
4.6 Experiments . . . 55
4.7 Summary . . . 61

5 Feature Extraction for Face Recognition 63
5.1 Normalization . . . 64
5.2 Feature Extraction Using Discrete Cosine Transform (DCT) . . . 64
5.2.1 Definition and Properties of DCT . . . 65
5.2.2 DCT-Based Feature Extraction . . . 67
5.3 Multiblock-Fusion Scheme . . . 68
5.4 DCT Feature Selection by Statistics . . . 70
5.4.1 Feature Selection by Statistical Weights . . . 70
5.4.2 Normalized Features . . . 70
5.5 AdaBoost . . . 71
5.5.1 AdaBoost Algorithm . . . 72
5.5.2 CorrAdaBoost: an Improved AdaBoost Algorithm . . . 73
5.5.3 Cascade . . . 75
5.6 Experiments . . . 77
5.7 Summary . . . 86

6 Classification 89
6.1 Distance Measures . . . 89
6.2 Nearest Center Classifier . . . 90
6.3 Linear Discriminant Analysis (LDA) . . . 91
6.4 Support Vector Machine (SVM) . . . 92
6.5 Face Verification . . . 92
6.6 Experiments . . . 94
6.7 Summary . . . 102

7 Face Recognition from Videos 107
7.1 Exemplar Learning from Videos . . . 107
7.2 Temporal Integration . . . 109
7.3 Simultaneous Tracking and Recognition from Video . . . 109
7.3.1 Time Series State Space Model for Recognition . . . 110
7.3.2 Sequential Importance Sampling (SIS) Algorithms . . . 111
7.3.3 Model Selection . . . 112
7.4 Experiments . . . 113

7.5 Summary 116

8 Conclusion and Outlook **119**
8.1 Summary of This Dissertation 119
8.2 Outlook 121

A Importance Sampling **123**

Bibliography **125**