

# Contents

Summary .....	i
Zusammenfassung.....	v
Acknowledgements .....	ix
Dedication .....	xi
Contents.....	xiii

## **Chapter 1: General Introduction..... 1**

1.1 Background.....	1
1.2 Agricultural Technology Adoption in Developing Countries: The Research Gap .....	2
1.2.1 Adoption analysis.....	3
1.2.2 Socioeconomic impact analysis.....	6
1.3 The Relevance of Tissue Culture (TC) Banana Technology in Kenya .....	7
1.4 Problem Statement .....	11
1.5 Study Objectives and Research Questions .....	13
1.6 Data and Analytical Approach .....	14
1.6.1 Sampling and household survey .....	14
1.6.2 Allometric estimation of banana yield .....	15
1.6.3 Modeling information exposure and technology adoption .....	16
1.6.4 Modeling TC impacts.....	17
1.7 Organization of this Thesis .....	19

## **Chapter 2: Heterogenous Information Exposure and Tissue Culture Adoption in Kenya ..... 21**

2.1 Introduction.....	22
2.2 Background .....	24
2.3 Analytical Framework.....	26
2.4 Data and Descriptive Statistics.....	30
2.4.1 Survey design .....	30
2.4.2 Definition of dependent variables .....	32
2.4.3 Explanatory variables and descriptive statistics .....	33
2.5 Results and Discussion.....	35
2.5.1 Farmer perceptions of TC banana.....	35
2.5.2 Regression results .....	37
2.5.3 Predicting TC adoption rates.....	46
2.6 Conclusions.....	47

<b>Chapter 3: Yield Effects of Tissue Culture Bananas in Kenya.....</b>	<b>51</b>
3.1 Introduction.....	52
3.2 The Kenyan Banana Sector and Farm Survey .....	54
3.2.1 Banana and TC technology in Kenya.....	54
3.2.2 Farm survey.....	56
3.3 Yields and Input Use: Descriptive Analysis .....	59
3.4 Econometric Approach.....	61
3.4.1 Impact assessment and selection bias .....	61
3.4.2 The ESR model .....	63
3.4.3 Estimating treatment effects on yield.....	65
3.5 Estimation Results .....	66
3.5.1 Selection equation: determinants of adoption .....	66
3.5.2 Outcome equations: determinants of yield.....	69
3.5.3 Estimating treatment effects.....	71
3.6 Conclusion.....	73
<b>Chapter 4: The Impact of Tissue Culture Bananas on Household Welfare .</b>	<b>77</b>
4.1 Introduction.....	78
4.2 Background.....	79
4.2.1 Banana production and TC technology in Kenya .....	79
4.2.2 Household survey.....	81
4.3 Descriptive Analysis .....	82
4.4 Measuring Food Security .....	85
4.4.1 The Household Food Insecurity Access Scale (HFIAS).....	85
4.4.2 Principal factor analysis .....	88
4.4.3 Identifying the food-insecure .....	90
4.5 Econometric Analysis.....	92
4.5.1 Model specification .....	92
4.5.2 Impacts on income.....	93
4.5.3 Impacts on food (in)security.....	95
4.6 Conclusion .....	98

<b>Chapter 5: General Conclusions .....</b>	<b>101</b>
5.1. Overview .....	101
5.2. Policy Recommendations.....	106
5.3. Limitations of this Study and Suggestions for Future Research .....	108
<b>References .....</b>	<b>111</b>
<b>Appendices.....</b>	<b>120</b>

**List of Tables**

Table 1.1. Commercially propagated crops in Africa.....	10
Table 2.1: Descriptive statistics of sampled farm households.....	34
Table 2.2: Farmer perceptions about attributes of TC in comparison to traditional bananas.....	36
Table 2.3: Determinants of TC awareness and knowledge exposure.....	40
Table 2.4: Determinants of TC banana adoption.....	43
Table 2.5: Predicted adoption rates of TC bananas .....	46
Table 3.1: Descriptive statistics of sampled farm households.....	58
Table 3.2: Banana input use by adoption status.....	61
Table 3.3: Determinants of banana yield (ESR model) .....	67
Table 3.4: Average TC treatment effects on banana yield.....	72
Table 4.1: Descriptive statistics of TC adopters and non-adopters .....	83
Table 4.2: Domains and sub-domains of the HFIAS with sample statistics .....	87
Table 4.3: Summary of the PFA results (N=384) .....	89
Table 4.4: Estimated impacts of TC adoption on income .....	94
Table 4.5: Estimated impacts of TC adoption on food insecurity.....	96

**List of Figures**

Figure 2.1: Sources of TC knowledge among adopters and non-adopters .....	37
Figure 3.1: Average banana yields for TC adopters and non-adopters .....	60
Figure 4.1: Mean relative food insecurity scores by adoption status.....	91
Figure 4.2: Proportion of food-insecure households by adopter status .....	91